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June 1944

## PRELIMINARY CHECKLIST

OF THE

RECORDS OF THE SURVEY OF FEDERAL ARCHIVES

WORK PROJECTS ADMINISTRATION

1935-1943

Prepared by

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# INTRODUCTION

In the fall of 1935, when the Works Magnese Administration was formulating plans for the organization of a group of Nation-wide Federal projects, designed primarily to provide useful work for unemployed and needy persons of the white-collar class, the National Archives made application to the WPA for the creation of a project that would have as its purpose the surveying of records of the Federal Government outside the District of Columbia. This application was approved and, late in 1935, a Presidential letter authorized the expenditure of \$1,176,000 for this project during a 6-menth period ending June 30, 1936. The Archivist of the United States selected Dr. Philip M. Hamer of the staff of the National Archives to serve as National Director of the Survey, and he was appointed to the staff of the WPA on January 1, 1936.

With the help of an advisory condities composed of staff members of the National Archives appointed by the Archivist, the National Director began formulating plans for the organization of the Survey late in December 1935. A brief description of the project and an outline of its organization were embodied in a mineographed bulletin dated January 17, 1936, which was issued by the WPA to State Administrators and others who would be concerned with the administration of the project. In this bulletin the Survey was designated as WPA Sponsored Federal Project No. 4, with the National Archives as Cooperating Sponsor. It was formally entitled "Survey of Archives of the Federal Government Outside the District of Columbia" but was commonly referred to as the "Survey of Federal Archives." The purpose of the Survey was stated to be "to escertain the exact location, the volume, and the conditions of storage of such archives /i. e., Podoral archives, to identify them as regards their contents, and to furnish other information which may be of assistance in the formulation of recommendations designed to insure their safe preservation and to facilitate their use by officials and students." The direction of the Survey was placed under the authority of a National Director and a staff of Regional Directors to be appointed on his recommendation for such regions as he should designate. It was provided that the Survey should operate in accordance with a manual of instructions to be issued later by the National Director.

Headquarters for the Washington Office were established in the National Archives Building. Members of the staff of the Washington Office, as well

The name was changed to Work Projects Administration on July 1, 1939.

<sup>&</sup>lt;sup>2</sup>This bulletin was issued as Appendix C to Supplement No. 2 to WFA Bulletin No. 29, which was issued on September 4, 1935, providing for the establishment of Professional and Service Projects.

Goordinating Project Unit. Farors projects could be set up in the States it was necessary to prepare detailed instructions for the organization and conduct of the Survey and to devise forms for the recording of the information to be obtained by the field workers. The Manual of the Survey of Federal Archives was completed late in January, approved by the WPA, and issued in mineographed form in February 1936. It described the general purposes of the Survey, defined its esope, and outlined its organization, describing in detail the deties of each person on its staff. The Manual also contained copies of the four forms to be used in recording the results of the surveying and detailed instructions for filling out these forms. These four forms were: Report on Agencies (WPA Form 55 SA); Report on Buildings (WPA Form 56 SA); Report on Rooms (WPA Form 57 SA); and Report on Serials (WPA Form 58 DA). Later an additional form was devised to record information regarding motion picture, photographic, and sound-recording collections.

For the purposes of the Survey the United States was divided into 34 regions, each to be under the direction of a Regional Director who was impediately responsible to the Mational Director. Selection of the Regional Directors was begun in January and completed late in March. Many of them were members of university faculties or on the staffs of libraries and historical societies and could not devote full time to the direction of the Survey. Where this was the case, Assistant Regional Directors were appointed to serve on a full-time basis. Regional headquarters were usually established in State capitals or in large cities, frequently in space provided by universities, libraries, or historical societies. The work of surveying was begun in the regional headquarters city and from there was extended to other cities and towns within the region where Federal archives were located.

Authority to imapest Federal records in the States was derived from the power of the Archivist of the United States "to inspect personally or by deputy the records of any sgency of the United States Government whatsoever and whereseever located," which was granted by the act establishing the National Archives. For the purposes of the Survey the Archivist formally designated the Regional Directors as his deputies. They in turn delegated the power of inspection to Project Superintendents, who were usually nominated by the Regional Directors and responsible to them.

It was the duty of the Project Superintendents to establish local offices, to instruct and supervise closely the field workers who were to do the actual

<sup>3</sup>A "Serial" was defined as "a group of records pessessing common qualities as regards contents (that is, relating to the same activity or subject), qualities which permit them to be grouped together in filing and described as a whole in a few words." The Reports on Serials were commonly referred to as "series reports."

Appearance of the National Director.

work of surveying, and to prepare the several progress, employment, and cost reports required by the Regional Directors. In most States about 90 percent of the Survey personnel came from the WPA relief rolls, but in certain States it was necessary to obtain special authorization to exceed the noncertified quota because of employment conditions. On April 15, 1937, an administrative order that reduced the noncertified quota on all WPA projects to 5 percent because effective, thus making it necessary for the Survey of Federal Archives to request additional exemption authorizations in order to perform its work. The majority of the noncertified personnel was employed in a supervisory capacity, while most of the certified relief personnel were classified as professional and technical or skilled workers.

The actual work of surveying was begun first in Indiana, North Carolina, and Minuesota, and late in February 1936 the first series reports were received in the Washington Office. By the end of April the Survey was under way in all the other States. It soon became evident, however, that because of the length of time that had been required to set the Survey in operation on a Nation-wide basis and because the volume of records to be surveyed had proved so much larger than had been expected, the Survey could not be completed by the end of June; therefore, a request for the continuation of its work during the next fiscal year was made and approved. During the fall and winter of 1936 amphasia was placed upon the actual work of surveying records, but during the spring of 1937 work was begun in the field on the preparation of an Enventory of Federal Archives in the States. Originally it had been planned to compile this Inventory in the Washington Office, but it was soon realized that the large volume of series reports submitted and the limited size of the Washington Office staff would make this impossible. Instead, the Washington Office issued detailed instructions for the preparation of the Inventory, and certain members of the staff edited sections of it as they were submitted to Washington for final review before publication in missographed form. Work proceeded on the Inventory much more slowly than had been expected, and it was found necessary to do a considerable amount of resurveying. This meant that the Survey could not be completed by June 30, 1937, and that arrangements must again be made for continuation of the project if the funds that had been spent were not to be wasted.

Shortly before June 30, it was announced that all Federal projects sponsored by Federal agencies on a Nation-wide basis would be terminated on that date. Since there was not sufficient time to set up new State projects to begin operation on July 1, and since it was desirable to avoid the confusion that would be caused by an interruption of the Survey, the National Director of the Historical Records Survey, a Federal project that was to be allowed to continue operation on a reduced scale, offered to let members of the survey of Federal Archives be transferred to the staff of his project. The National Director of the Survey of Federal Archives was appointed Associate National Director of the Historical Records Survey, and 15 members of the Washington Office staff were also transferred to the staff of the

Historical hard de Survey, although they were to continue to edit the inventor, as a separate and much offices in the National Archives. Workers in 25 States were reassigned to the Historical Records Survey in order to continue their work on the Survey of Federal Archives. Within a few weeks after July 1 a number of State projects were organized, and when this was done in States where the Historical Records Survey had taken over units of the former Survey of Federal Archives, these units were transferred to the new State projects.

These State projects and units of the Historical Records Survey continued to be referred to as the "Survey of Foderal Archives" until they were terminated on June 30, 1942. Most of the actual surveying work had been completed by June 30, 1938, but it had proved necessary to do a limited amount of resurveying in certain States before the Inventory could be compiled and sent to the Washington Office for editing. During the last few years of the Survey, its reduced stail both in Washington and in the field devoted most of its time to the completion of the Inventory, although new but related projects had been undertaken in some States. Among such projects were the compilation of Ship Registers and Recollments for port cities in eight States, the publication of A History of the U. S. Custom House at New Orleans. Louisiana, and the preparation of guides to Federal agencies in some States.

When the Survey finally came to a close on June 30, 1942, a total of 506 volumes of the Inventory had been completed and published in miscographed form. Of the volumes that had been planned, only 81 remained unpublished. Finally edited and unedited copies of most of the manuscripts are among the records of the Survey of Federal Archives now in the National Archives. In addition to these unpublished manuscripts, there are also a number of unpublished inventories of records of the Post Office Department, the Civil Works Administration, the Federal Emergency Relief Administration, and the Work Projects Administration, which the Survey had not planned to publish in full. Whether in published or unpublished form, however, the vast amount of information concerning Federal field records collected and recorded by the staff of the Survey of Federal Archives has already proved, and should continue to prove, extremely valuable not only to Government officials but also to scholars and the general public.

The project in Maine was permitted to operate after this date in order to complete the Ship Registers and Enrollments for the ports of Maine.

Oan almost complete set of these published volumes is available in the Division of Interior Department Archives, and there are two other sets in the National Archives Library.

<sup>7</sup>A chart listing both the unpublished and published inventories in the custody of the National Archives is appended to the checklist. For those States for which certain series of the <u>Inventory</u> were not prepared, the Reports on Serials can be used.

further details concurning the history and administration of the Survey of Federal Archives can be found in the annual reports of the National Director, which were published as appendixes to the Annual Reports of the Archivist of the United States for the fiscal years 1936-42.

The files of the Washington Office of the Survey of Federal Archives were created within the National Archives Building and have never been removed from that building, but they were not formally accessioned by the Archivist of the United States until December 9, 1943. The records of the Washington Office, together with the records sent in from some of the Regional Offices at the close of the Survey, amounted to approximately 850 cubic feet at the time of the accession. About 315 feet of nonrecord material and of records that had either previously been authorized for disposal or did not appear to have sufficient administrative, legal, research, or other value to warrant their continued preservation have been approved for disposal. This material included surplus copies of processed memorands; extra carbon copies of the series reports, unpublished manuscripts, and building and room directories: Regional Office copies of letters, remorands, and reports to the Washington Office; and copies of various WPA forms, of which the originals have been microffined. Of the 530 cubic feet of records retained, approximately of percent constitute records of the Regional Offices; the rest are records of the Washington Office, more than 75 percent of which are series renorts.

# PRELIMINARY CHECKLIST OF THE RECORDS OF THE SURVEY OF FEDERAL ARCHIVES OF THE WORK PROJECTS ADMINISTRATION, 1935-1943

GENERAL FILE, 1935-42. 18 ft.

Correspondence, memoranda, reports, and bullstins. Included in this file are bulletins on operating procedures; lists of field offices of the various Federal agencies; reports on the inactive Federal Emergency Relief Administration, Civil Works Administration, and Work Projects Administration records in the States; requests for the approval of projects in the States; miscellaneous form letters; intereffice memoranda; general correspondence files of the Washington Office staff; annual reports of the National Director; statistical reports on the number of linear feet of records surveyed; and miscallaneous personnel and financial data relating to the furvey. Arranged alphabetically by subject.

CORRESPONDENCE WITH THE REGIONAL OFFICES, 1936-43. 24 ft. 2
Incoming correspondence, reports, and memoranda from the Regional Offices and copies of outgoing correspondence relating to all aspects of project operation. Samples of survey or other product material prepared by the various projects are sometimes included. Arranged alphabetically by State and thereunder chronologically.

PROJECT SUPERINTENDENTS' REPORTS, 1936-37. 6 ft.

Copies of weekly progress reports submitted by the Project Superintendents to the Regional Directors. These reports frequently contain information concerning specific problems encountered in the survey of Federal field records. Arranged alphabetically by State and thereunder by the name of the city in which the project office was located.

NEMCRANDA FROM THE NATIONAL DIRECTOR, 1936-37. 1 ft.

Processed memoranda issued by the National Director to all Regional Directors, including instructions concerning project operating procedures, the manner in which the Survey was to be conducted, and the filling out of the form reports by the field workers. Arranged chronologically.

MISCELLANEOUS MEMORANDA, INSTRUCTIONS, REPORTS, CIRCULARS, AND FORM LETTERS, 1936-40. 1 ft.

Includes miscellaneous memoranda and circulars issued by the central office of the WPA and by the Historical Records Survey; form letters used by the Survey of Federal Archives; specific instructions for the preparation of the Inventory for the more important Federal agencies; lists of agencies, Federal buildings, and Federal courts within the States; and copies of reports of the Librarian of Congress concerning archival material outside the District of Columbia.

EMPLOYMENT REPORTS, 1936-37. 1 ft. 6 Semimonthly form reports submitted by the Regional or Assistant Regional Directors to the Washington Office, giving the total number of persons employed on the Survey within each State by work classification. Arranged

alphabetically by State and thereunder chronologically.

Included with these reports are monthly reporte showing the number of form reports completed during the month and the cumulative totals from the praceding month. The monthly and cumulative totals of the number of linear feet of records surveyed are also given,

PROGRESS REPORTS, 1936-37. 1 ft. Monthly reports submitted by the Regional or Assistant Regional Directors to the Mashington Office, listing the cities or towns in each State where the Survey had been completed and those where it was still in progress. The names of the agencies surveyed or to be surveyed in each city or town are also given. Arranged alphabetically by State and thereunder chronologically.

SAMPLE FORMS. 3 ft. Samples of some of the forms used by the Federal agencies whose field records were surrayed. Arranged alphabetically by agoncy and thereunder by bureau.

REPORTS ON SERIALS, 1936-40. 387 ft The Report on Serials (UPA Form 58 SA) was the form used by the field workers to record the information obtained concerning the location, title, and inclusive dates of each series of records surveyed; a general description of its contents, volume, physical condition, and use; and any other pertinent information. Some of these series reports contain information that was not included in the published inventories.

With two acceptions these series reports are arranged alphabetically by State, thereunder by city or town, and thereunder by the name of the agency surveyed. The series reports covering the field office records of the Department of Agriculture, however, are arranged alphabetically by bureau and the sunder by State and city or town. The series reports covering the field records of the Post Office Department are arranged as a separate unit, being filed alphabetically by State and thereunder by city or town. Series reports on Post Office Department records have been retained only for those States for which the Inventory of Post Office Department records was not compiled.

SURVEY OF NON-FEDERAL RECORDS, 1936-40. 5 ft. Reports submitted by field workers on miscellaneous colonial, Confederate, State, and Territorial records that were surveyed by mistake. Some information was also collected concerning various private and semipublic records and miscellaneous printed and published matter in the States. Arranged alphabetically by State within each of the above categories of records.

UNPUBLISHED MANUSCRIFT MATERIAL, 1936-42. 28 ft.

a. Manuacripts in various stages of completion for inventories that were never published. Some of the manuscripts appear to be final typescripts read for mimsographing, while others are only rough drafts. Also included among this manuscript material are miscellaneous pages excluded from published inve tories. Arranged alphabetically by State and thereunder by agency. (See cha of unpublished manuscripts appended to this checklist.)

b. Troublished members pos for Post Office Department inventories for 21 States, bound in wallows form. Arranged alphabutically by State.

c. Vapur Lakes form reports on Ship Registers and Enrollments for the

port of Baltimore, Wie

d. Unpublished abstracts prepared by the Central Pacific Islands Study. 8 Massachusetts WFA project, sponsored jointly by the National Archives and the State Department and directed locally by the State Director of the Survey of Federal archives as long as that project existed. The purpose of this project was to search Covernment records and other materials for information concerning the discovery, exploration, and occupation by Americans from New England ports of islands in the Central Pacific Ocean during the period 1790-1870. The ribbon copies of these abstracts are deposited in the Department of State.

REPORTS ON AGENCIES, 1936-42. 17 ft. The Report on Agencies (WPA Form 55 SA) was the form used by the field workers to record the information obtained concerning the location, date of establishment, administrative organization, and loss or destruction of the records of each agency of the Pederal Government outside the District of

Columbia. Arranged alphabetically by State and thereunder by agency.

BUILDING AND ROOM REPORTS AND DIRECTORIES, 1936-42. 15 ft. a. Unpublished Building and Ross Directories for 35 States compiled on the basis of the Building and Room Reports. Some of these directories have detailed taining of contents or indexes. Arranged alphabetically by State. The directories for Gregon, Pennsylvania, and Washington have been issued in mineagraphed form. (See chart appended to this checklist for list of unpublished directories.)

b. Miscellineons correspondence and notes concerning the preparation

of the directories.

c. The Report on Buildings (WPA Form 56 SA) and the Report on Rooms (WPA Form 57 id) were the two forms used by field workers to record information obtained concerning the location, date and type of construction, fire hazards, etc., of buildings occupied by Federal agencies, as well as the various menaces to Federal records and impediments to their use in rooms where they were located. Building and Room Reports have been retained only for those States for which complete directorics were not compiled. Arranged alphabetically by State and thereunder by city or town.

RECORDS OF THE REGIONAL OFFICES, 1936-37, 23 ft. General project correspondence with the National Director, State Supervisors, Project Superintendents, and private persons, organizations, and institutions. Also memoranda, bulletins, reports, personnel and financial

data, and miscellaneous research material.

During the operation of the Survey as Federal Project No. 4, there were 34 regional offices. In most cases, the boundaries of the regions coincided with State boundaries but, in a few instances, a region included several States. The States of Pennsylvania and New York, on the other hand, were each divided into two regions. Because of the fact that the Survey did not

function after dens 30, 1917, as units of the Historical Records Survey instead of as State projects, there was no uniformity in the disposition of the field office files. The records of the regional offices covering the States listed below are the only ones which were sent into the Washington Office at the close of the project.

Florida	2 ft.	Missouri	3½ ft.
Indiana	1 ft.	Montana	g in.
Lova	2½ ft.	Mebraske	gin.
Louisiana	2 in.	South Carolina	15 ft.
Michigan	F st.	Texas	6 ft.
Minnesota	lå ft.		

## APPENDIX

Chart Showing Status of Inventories and Building and Room Directories

Frepared by the Survey of Federal Archives

Series I of the Inventory for each State was to consist of reports on the administration of the Survey, acknowledgments, and general discussions of the location, condition, and content of Federal archives in the State. The compilation of Series I had not been undertaken for any State at the close of the Survey.

The comparatively small number of field records of the State Department made it impracticable to publish separate series of inventory volumes covering the records of that Department. In the case of Louisiana, the Inventory of State Department records was included in the Miscellansous Agencies series. The National Archives has unpublished manuscript inventories for State Department records in the States of California, Illinois, and New York. No attempt has been made to list on the chart pages excluded from published inventories or reports on records of the American National Red Cross that were surveyed by mistake in some States, although this material is also included among the unpublished manuscripts in the National Archives. Series reports (see entry 9) have only been listed where published or unpublished manuscript inventories are not available. The building and room directories and reports are explained in entry 13.

The symbols ID and LI which appear on the chart stand for the Division of Interior Department Archives and the National Archives Library respectively. Unless otherwise indicated, all items listed on the chart are available in the Division of Interior Department Archives.

An almost complete list of the published Inventories of Faderal Archives in the States is included in the WPA Bibliography of Research Projects Reports: Checklist of Historical Records Survey Publications, Research and Records Bibliography No. 7, revised April 1943 (Washington, 1943), pp. 1-15.

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Series V Justice Dept	pub.	pub.	pub.	rub.	pub.	pub	pub.	pub.	unpub.	pub.
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Series VII Navy Dapt.	brp.	pub.	brip	pub.	pub.	pub.	dug	unpub.	oub.	oub.
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